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## A SUMMARY OF A MATRIX'S PRIMARY DECOMPOSITION AND POLYNOMIALS

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DOI - 10.5281/zenodo.7895852

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### Abstract:

The purpose of this paper is to investigate some unanswered questions regarding the primary decomposition of matrices over a field  $K$  and to provide an analogous of some well-known results of spectral, algebraic, and geometric multiplicity order of an eigenvalue to any  $P$ -component of the characteristic polynomial  $CA$  of a matrix  $A$  over a field  $K$ . Additionally, the paper will attempt to answer some questions that have not yet been asked regarding the primary decomposition of matrices. To be more specific, we calculate the dimension of the kernel of a polynomial of a square matrix  $A$  over any arbitrary commutative field  $K$  in terms of its invariant factors. This allows us to determine the exact size of the kernel. In this application, we get the value of the  $P$ -algebraic and  $P$ -geometric multiplicity order of any  $P$ -component of the characteristic polynomial  $CA$  of a matrix  $A$ . This is done so that we may use it.

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**Keywords:** Primary decomposition, invariant factors, algebraic multiplicity, geometric multiplicity.

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### Introduction:

Let's say that  $K$  is a field. Let us assume that  $A$  is a multiple of  $M_n(K)$  and that  $P$  is an irreducible polynomial of  $K[X]$ . If the characteristic polynomial  $CA$  of  $A$  is a power of  $P$ , then we shall refer to  $A$  as a primary matrix that is  $P$ -symmetric. According to the Primary Decomposition Theorem, if  $A \in M_n(K)$  is a non-zero matrix and  $m_A(X) = \prod_{i=1}^s P_i^{e_i}$  is the prime decomposition of its minimal polynomial  $m_A(X)$ , then the matrix  $A$  is comparable to a block diagonal of  $P$ -primary matrices

diag. The Primary Decomposition Theorem states that if  $A \in M_n(K)$  ( $A_1, A_2, \dots, A_s$ ). It is currently unclear what the dimension of sequence vector spaces called  $\text{Ker } P_i(A)$  is. In the first part of this paper, we use some in-depth results on module theory over a PID to compute the dimension of the kernel of a polynomial of a square matrix  $A$  over a commutative field  $K$  in terms of its invariant factors. This is done by using a square matrix  $A$ . In the second part of this paper, we use these results to compute the dimension of a

kernel of a polynomial of a In the second part, we give the analogous of some well-known results of spectral, algebraic, and geometric multiplicity order of an eigenvalue, to any Pcomponent of the characteristic polynomial CA of a matrix A over any arbitrary commutative field K. This analogy is based on the fact that these results can be applied to any eigenvalue. The P-algebraic multiplicity order and the P-geometric multiplicity order both see some new conclusions produced here as well.

### Preliminary Notes:

Let's say that K is a field. Let us assume that M is a vector space with finite dimensions over K, and that f is an endomorphism of M that is a K. The structure of a  $K[X]$ -module may be obtained by the endomorphism f by  $X.m = f(m)$  for each m that is less than M. This structure is bestowed onto the vector space M. We shall refer to the  $K[X]$ -module on M that is induced by f as  $M_f$  from now on. Because the ring  $K[X]$  is a PID, the following very helpful conclusion may be inferred by applying the structure theorem of finitely produced torsion modules over a PID (see [[6], 2, p. 556], [[8], 14], [[1], p. 235], and [3]):

**Theorem 2.1 (Rational canonical form)** *Let M be a finite-dimensional vector space over a field K and f be a K-endomorphism of M. Let  $M_f$  be the  $K[X]$ -module induced by f then there exists a unique sequence of polynomials  $q_1, \dots, q_r$  such that:*

$$M_f \simeq \frac{K[X]}{(q_1)} \oplus \frac{K[X]}{(q_2)} \oplus \dots \oplus \frac{K[X]}{(q_r)}$$

and

- $q_i \mid q_{i+1}$
- $q_r = m_f(X)$  the minimal polynomial of f and  $\prod_{i=1}^r q_i = c_f(X)$  the characteristic polynomial of f.

*The ascending sequence of polynomials  $q_1, \dots, q_r$  are unique and called the invariant factors of f.*

If  $q_1, \dots, q_r$  are the invariant factors of f then we will write  $IF(f) = (q_1, \dots, q_r)$ .

Let  $A \in \mathcal{M}_n(K)$  be a no zero matrix, and for any linear transformation that has matrix A relative to some basis, we denote  $M_A$  the  $K[X]$ -module induced by A. Then by theorem2.1:

$$M_A \simeq \frac{K[X]}{(q_1)} \oplus \frac{K[X]}{(q_2)} \oplus \dots \oplus \frac{K[X]}{(q_r)}$$

to the extent that  $q_i \mid q_{i+1}$ ,  $q_r = m_A(X)$ , which is the minimum polynomial of A,

and  $q_r = c_A(X)$ , which is the characteristic polynomial of A. Invariant

factors of  $A$  are referred to as the series of polynomials  $q_1, \dots, q_r$ . The uniqueness and similarity of  $A$ 's invariant factors are emphasised here. In point of fact, if  $q_1, \dots, q_r$  are the invariant factors of  $A$ , then  $A$  is comparable to a block diagonal matrix with the notation  $\text{diag}(A_1, A_2, \dots, A_m)$ ,

where  $A_i = \text{Comp}(q_i)$  is the companion matrix of  $q_i$ . Let's say that  $K$  is a field. Let us assume that  $A$  is a multiple of  $M_n(K)$  and that  $P$  is an irreducible polynomial of  $K[X]$ . If the characteristic polynomial  $C_A$  of matrix  $A$  is a power of  $P$ , then we shall refer to matrix  $A$  as a  $P$ -primary matrix.

### Main Results:

**Theorem 3.1** *Let  $K$  be a field. Let  $A \in M_n(K)$  be a non zero matrix and  $IF(A) = (q_1, \dots, q_r)$  its invariant factors. Then*

$$\dim_K \text{Ker} P(A) = \sum_{i=1}^r \deg(\gcd(P, q_i))$$

for any  $P \in K[X]$ . In particular  $\dim_K \text{Ker} A$  is the number of  $i$  such that  $q_i(0) = 0$ .

To prove this Theorem we need the following lemmas

**Lemma 3.2** *Let  $u$  be an endomorphism of a finite dimensional vector space  $E$  over  $K$ . Assume that  $E = \bigoplus_{i=1}^n E_i$  such that  $E_i$  are  $u$ -invariant subspaces of  $E$ . Then  $u = \bigoplus_{i=1}^n u_i$  with  $u_i = \text{res}_{E_i} u$  the restriction of  $u$  to  $E_i$  and*

- $u(x) = \sum_{i=1}^n u_i(x_i)$  for all  $x = \sum_{i=1}^n x_i$ .
- $P(u) = \bigoplus_{i=1}^n P(u_i)$  for all  $P \in K[X]$
- $\text{Ker} P(u) = \bigoplus_{i=1}^n \text{Ker} P(u_i)$

**Proof.** Easy to prove (see [[8], Proposition 1. 3. 2] and [5]). ■

**Lemma 3.3** *Let  $R$  be a PID and let  $a, b$  be nonzero elements of  $R$ . If  $d = (a, b) = \gcd\{a, b\}$ , then*

$$\{\bar{c} \in R/bR \mid a\bar{c} = \bar{0}\} \simeq R/dR.$$

**Proof.** Indeed let  $M_a := \{\bar{c} \in R/bR \mid a\bar{c} = \bar{0}\}$  clearly  $M_a$  is a submodule of the  $R$ -module  $R/bR$ . Let  $b' = \frac{b}{d}$ . Then

$$\begin{aligned} \phi : R &\longrightarrow M_a \\ x &\longmapsto \overline{b'x} \end{aligned}$$

$\phi$  is an R-homomorphism. Notice that  $\overline{ab'x} = \overline{b'_a x} = \overline{0}$ . So  $\overline{b'x} \in M_a$ .

Furthermore if  $\overline{ax} = \overline{0}$  then  $ax \in bR$  so  $x \in b'R$ . Hence  $\phi$  is an onto homomorphism.  $\text{Ker}\phi = \{x \in R \mid b'x \in bR\} = dR$ . Hence  $M_a \simeq R/dR$ . ■

**Lemma 3.4** Let  $A \in \mathcal{M}_n(K)$  and let  $M_A$  be the  $K[X]$ -module induced by  $A$ . If  $M_A \simeq K[X]/(q)$ . Let  $P \in K[X]$ , then

$$\text{Ker}(P(A)) \simeq \text{Ker}\widetilde{P(\overline{X})}$$

where  $\widetilde{P(\overline{X})} : K[X]/(q) \rightarrow K[X]/(q), \overline{T} \mapsto P(X).\overline{T}$

**Proof.** Let  $\varphi$  denotes the  $K[X]$ -isomorphism between  $M_A$  and  $K[X]/(q)$ . We have  $m \in \text{Ker}P(A)$  if and only if  $P(A)(m) = 0$  if and only if  $\varphi(P(X).m) = \overline{0}$  if and only if  $\varphi(P(X).m) = \overline{0}$  if and only if  $P(X).\varphi(m) = \overline{0}$  if and only if  $\widetilde{P(\overline{X})}(\varphi(m)) = 0$  if and only if  $\varphi(m) \in \text{Ker}\widetilde{P(\overline{X})}$ , where  $\widetilde{P(\overline{X})} : K[X]/(q) \rightarrow K[X]/(q), \overline{T} \mapsto P(X).\overline{T}$  hence  $\text{Ker}(P(A)) \simeq \text{Ker}\widetilde{P(\overline{X})}$ . ■

**Lemma 3.5** Let  $A \in \mathcal{M}_n(K)$  and let  $M_A$  be the  $K[X]$ -module induced by  $A$ . If  $M_A \simeq K[X]/(q)$  then for all  $P \in K[X]$

$$\text{Ker}(P(A)) \simeq \begin{cases} (0) & \text{if } \gcd(P, q) = 1 \\ K[X]/(D) & \text{if } \gcd(P, q) = D \end{cases}$$

**Proof.** By lemma 3.4 and lemma 3.3 we have  $\text{Ker}\widetilde{P(\overline{X})} \simeq K[X]/(D)$  where  $D = \gcd(P, q)$ . ■

Now let's give the proof of the theorem 3.1

**Proof.** Let  $E$  be a  $K$ -vector space of finite dimension. Let  $f \in \text{End}_K(E)$  and  $\mathcal{B}$  a basis of  $E$  such that  $\text{mat}_{\mathcal{B}}(f) = A$ . The space  $E$  can be viewed as a  $K[X]$ -module ( $K[X] \times E \rightarrow E, (P, x) \mapsto P.x = P(f)(x)$ ). Then  $E = M_f \simeq \bigoplus_{i=1}^r K[X]/(q_i)$  as  $K[X]$ -modules, where  $q_1, q_2, \dots, q_r$  are the invariant factors of  $A$ . Hence  $E = \bigoplus_{i=1}^r E_i$  where  $E_i$ 's are  $f$ -invariant subspaces and  $E_i \simeq K[X]/(q_i)$  as  $K[X]$ -modules. Hence by lemma 3.2  $f = \bigoplus_{i=1}^r f_i$  and  $P(f) = \bigoplus_{i=1}^r P(f_i)$  where  $f_i = \text{res}_{E_i} f$ . So it turns to study the case where  $f$  admits one invariant factor ( $A$  is companion). By lemma 3.5  $\text{Ker}P(f_i) \simeq K[X]/(D_i)$  where  $\gcd(P, q_i) = D_i$ . We have by lemma 3.2  $\text{Ker}P(f) = \bigoplus_{i=1}^r \text{Ker}P(f_i) \simeq \bigoplus_{i=1}^r K[X]/(D_i)$ . Hence  $\dim_K \text{Ker}P(f) = \sum_{i=1}^r \dim_K(K[X]/(D_i)) = \sum_{i=1}^r \deg(D_i) = \sum_{i=1}^r \deg(\gcd(P, q_i))$ . ■

**Corollary 4.7** Let  $f \in \text{End}_K(E)$  factors. Let  $P \in K[X]$  be an irreducible factor of  $C_f$ . Let  $s = v_P(m_f)$ . Then  $\dim_K \text{Ker}(f - \lambda I)$  is the number of  $i$  such that  $q_i(\lambda) = 0$ . If further  $s = 1$  then the geometric multiplicity order of  $\lambda$  is  $v_P(C_f)$ .

**Proof.** If  $P = X - \lambda$  then by theorem 3.1 we have  $\dim_K \text{Ker}(f - \lambda I) = \sum_{i=1}^r \deg(\gcd(X - \lambda, q_i)) = \text{number of } i \text{ such that } q_i(\lambda) = 0$ . If  $s = 1$  we apply the corollary 4.6. ■

**Proposition 4.8** Let  $f \in \text{End}_K(E)$ . Let  $P \in K[X]$  be an irreducible monic factor of  $C_f$ . Then  $\nu_{\text{alg}}(P) = \nu_{\text{geom}}(P)$  if and only if  $v_P(m_f) = 1$ .

**Proof.** Indeed, if  $t = v_P(C_f)$  and  $v_P(m_f) = 1$  then by corollary 4.6  $\nu_{\text{geom}}(P) = t \deg P = \nu_{\text{alg}}(P)$ . Conversely if  $\nu_{\text{alg}}(P) = \nu_{\text{geom}}(P)$  then  $(\sum_{i=1}^k s_i + (r - k)) \deg P = t \deg P$  and hence  $\sum_{i=1}^k s_i + (r - k) = t$ . If  $k < r$  then  $\sum_{i=k+1}^r s_i = r - k$  and  $1 < s_i$  for any  $k < i$ . But the sum  $\sum_{i=k+1}^r s_i = r - k$  contradicts  $1 < s_i$  for any  $k < i$ . Therefore  $k = r$  and  $s_r \leq 1$ . As  $P$  is a component of the characteristic polynomial  $C_f$  of  $f$  we conclude that  $v_P(m_f) = s_r = 1$ . ■

**Proposition 4.9** Let  $f \in \text{End}_K(E)$ . Let  $P \in K[X]$  be an irreducible monic factor of  $C_f$ . Then  $\nu_{\text{geom}}(P) = \deg P$  if and only if  $v_P(m_f) = v_P(C_f)$ .

**Proof.** Indeed  $\nu_{\text{geom}}(P) = l \deg P$  where  $l = \sum_{i=1}^k s_i + (r - k)$  and  $k$  is the number of indices  $i$  such that  $s_i \leq 1$ . If  $\nu_{\text{geom}}(P) = \deg P$  then  $l = 1$  hence if  $k = r$  then  $\sum_{i=1}^r s_i = 1$  then  $s_r = 1$  and  $s_i = 0, \forall i \leq r - 1$  since the sequence  $s_i$  is non negative and increasing. So  $v_P(m_f) = 1 = v_P(C_f)$ .

If  $k < r$  then  $l = \sum_{i=1}^k s_i + (r - k) = 1$  implies that  $k = r - 1$  and  $s_i = 0 \forall i \leq r - 1$ . Hence  $v_P(C_f) = \sum_{i=1}^r s_i = s_r = v_P(m_f)$ .

Conversely if  $v_P(m_f) = v_P(C_f)$  then  $\sum_{i=1}^{r-1} s_i = 0$  so  $s_i = 0 \forall i \leq r - 1$ . If  $k < r$  then  $k = r - 1$  so  $\nu_{\text{geom}}(P) = (\sum_{i=1}^{r-1} s_i + (r - (r - 1))) \deg P = \deg P$ . If  $k = r$  then  $s_r \leq 1$  and since  $P$  is a component of the characteristic polynomial  $C_f$  of  $f$  we conclude that  $s_r = 1$  and by consequence  $l = 1$  and  $\nu_{\text{geom}}(P) = \deg P$ .

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